

Client Data

Updated	Risk Category

Client data sheet ('know your customer')

Personal Details

Name	
Personal code/Date of birth/Registry code	
Address/Location	
Citizenship (in case of a natural person)	
Occupation, area of activity	
Name and date of issuance of document used for identification (in case of a natural person and representative of legal person)	
Name and number of the document used for identification and verification of the identity of a foreign legal person	
Postal code and city	
The country of tax residency	
Area of activity (in case of legal person)	
Email	Telephone
Contact person and e-mail	Telephone
Have the securities of the company been accepted for trading on a regulated securities market? (in case of legal person)	
<input type="checkbox"/> NO <input type="checkbox"/> YES	
if Yes, then on which securities market	

Beneficial Owner (in case of legal person)

Record the Beneficial Owners: A Beneficial Owner is a natural person who:

i. Taking advantage of his influence exercises control over a transaction, operation or another person and in whose interests or favour or on whose account a transaction or operation is performed taking advantage of his influence, makes a transaction, act, action, operation or step or otherwise exercises control over a transaction, act, action, operation or step or over another person and in whose interests or favour or on whose account a transaction or act, action, operation or step is made.

ii. Ultimately owns or controls a legal person through direct or indirect ownership of a sufficient percentage of the shares or voting rights or ownership interest in that person, including through bearer shareholdings, or through control via other means. Direct ownership is a manner of exercising control whereby a natural person holds a shareholding of 25 per cent plus one share or an ownership interest of more than 25 per cent in a company. Indirect ownership is a manner of exercising control whereby a company which is under the control of a natural person holds or multiple companies which are under the control of the same natural person hold a shareholding of 25 per cent plus one share or an ownership interest of more than 25 per cent in a company.

iii. Holds the position of a senior managing official, if, after all, possible means of identification have been exhausted, the person specified in clause ii cannot be identified and there is no doubt that such person exists or where there are doubts as to whether the identified person is a beneficial owner.

iv. In the case of a trust, civil law partnership, community or legal arrangement, the beneficial owner is the natural person who ultimately controls the association via direct or indirect ownership or otherwise and is such associations': settlor or person who has handed over the property to the asset pool, trustee or manager or possessor of the property, person ensuring and controlling the preservation of property, where such person has been appointed, or the beneficiary, or where the beneficiary or beneficiaries have yet to be determined, the class of persons in whose main interest such association is set up or operates.

Does the company have Beneficial Owners:

NO YES

if No, please explain:

Name	Personal ID code/ DOB
Place of residence	Citizenship
	Shareholding (%)
Name	Personal ID code/ DOB
Place of residence	Citizenship
	Shareholding (%)
Name	Personal ID code/ DOB
Place of residence	Citizenship
	Shareholding (%)

Members of the MB (in case of legal person)

Name	Personal ID code/ DOB	
Place of residence	Copy of the ID document appended <input type="checkbox"/> NO <input type="checkbox"/> YES	Valid till
	Power of attorney appended <input type="checkbox"/> NO <input type="checkbox"/> YES	Valid till
Name	Personal ID code/ DOB	
Place of residence	Copy of the ID document appended <input type="checkbox"/> NO <input type="checkbox"/> YES	Valid till
	Power of attorney appended <input type="checkbox"/> NO <input type="checkbox"/> YES	Valid till
Name	Personal ID code/ DOB	
Place of residence	Copy of the ID document appended <input type="checkbox"/> NO <input type="checkbox"/> YES	Valid till
	Power of attorney appended <input type="checkbox"/> NO <input type="checkbox"/> YES	Valid till

Purpose of the Business Relationship

Please specify

Origin of assets used in the Transaction or use of Transaction

Please specify

Identification of Politically Exposed Persons (to be filled if relevant)

Record on the Beneficial Owners, members of the MB or authorised representative a Politically Exposed Person.

A Politically Exposed Person is a natural person who is or who has been entrusted with prominent public functions including a head of state, head of government, minister and deputy or assistant minister; a member of parliament or of a similar legislative body, a member of a governing body of a political party, a member of a supreme court, a member of a court of auditors or of the board of a central bank; an ambassador, a chargé d'affaires and a high-ranking officer in the armed forces; a member of an administrative, management or supervisory body of a state-owned enterprise; a director, deputy director and member of the board or equivalent function of an international organisation, except middle-ranking or more junior officials.

- The provisions set out above also include positions in the European Union and in other international organizations.
- A family member of a person performing prominent public functions is the spouse, or a person considered to be equivalent to a spouse, of a politically exposed person; a child and their spouse, or a person considered to be equivalent to a spouse, of a politically exposed person; a parent of a politically exposed person.
- A close associate of a person performing prominent public functions is a natural person who is known to be the beneficial owner or to have joint beneficial ownership of a legal person or a legal arrangement, or any other close business relations, with a politically exposed person; and a natural person who has sole beneficial ownership of a legal entity or legal arrangement which is known to have been set up for the de facto benefit of Page 40 of 42 a politically exposed person.

NO YES

If Yes, please record the person's name, position (occupation) and links with the politically exposed person.

Name	Position (occupation)	Link
Name	Position (occupation)	Link